



The Core Elements of  
**Hospital Antibiotic Stewardship Programs**  
ANTIBIOTIC STEWARDSHIP PROGRAM ASSESSMENT TOOL



CS276578-A



**Centers for Disease  
Control and Prevention**  
National Center for Emerging and  
Zoonotic Infectious Diseases  
Division of Healthcare Quality Promotion

# Core Elements of Hospital Antibiotic Stewardship Programs: Assessment Tool

The antibiotic stewardship program assessment tool is a companion to *Core Elements of Hospital Antibiotic Stewardship Programs*. This tool provides examples of ways to implement the Core Elements. The Core Elements are intended to be an adaptable framework that hospitals can use to guide efforts to optimize antibiotic prescribing. Thus, not all of the examples listed in the Core Elements (and below) may be necessary and/or feasible in all hospitals.

The assessment tool can be used on a periodic basis (e.g., annually) to document current program infrastructure and activities and to help identify items that could improve the effectiveness of the stewardship program. Consider listing specific details, such as points of contacts or facility-specific guidelines with the date, in the “comments” column as reference for the antibiotic stewardship team.

CORE ELEMENTS OF HOSPITAL ANTIBIOTIC STEWARDSHIP PROGRAMS: ASSESSMENT TOOL	ESTABLISHED AT FACILITY	COMMENTS
<b>Hospital Leadership Commitment</b>	<ol style="list-style-type: none"> <li data-bbox="302 827 829 982">1. <b>[Priority Example]</b> Does facility leadership provide stewardship program leader(s) dedicated time to manage the program and conduct daily stewardship interventions?</li> <li data-bbox="302 982 829 1138">2. <b>[Priority Example]</b> Does facility leadership provide stewardship program leader(s) with resources (e.g, IT support, training) to effectively operate the program?</li> <li data-bbox="302 1138 829 1352">3. <b>[Priority Example]</b> Does your antibiotic stewardship program have a senior executive that serves as a point of contact or “champion” to help ensure the program has resources and support to accomplish its mission?</li> <li data-bbox="302 1352 829 1541">4. <b>[Priority Example]</b> Do stewardship program leader(s) have regularly scheduled meetings with facility leadership and/or the hospital board to report and discuss stewardship activities, resources and outcomes?</li> <li data-bbox="302 1541 829 1730">5. Does your facility leadership ensure that staff from key support departments and groups have sufficient time to contribute to stewardship activities? (refer to Core Elements for key support staff)</li> <li data-bbox="302 1730 829 1908">6. Does facility leadership ensure that antibiotic stewardship activities are integrated into other quality improvement and patient safety efforts, such as sepsis management and diagnostic stewardship?</li> </ol>	<p data-bbox="829 827 1479 982"><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Could use more</i></p> <p data-bbox="829 982 1479 1138"><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>VigiLanz</i></p> <p data-bbox="829 1138 1479 1352"><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Chief Quality Officer</i></p> <p data-bbox="829 1352 1479 1541"><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>P&amp;T committee - Just re-started.</i></p> <p data-bbox="829 1541 1479 1730"><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Could always use more time.</i></p> <p data-bbox="829 1730 1479 1908"><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>

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<b>Hospital Leadership Commitment</b>	7. Does facility leadership support enrollment and reporting into the National Healthcare Safety Network (NHSN) Antimicrobial Use and Resistance (AUR) Module, including any necessary IT support?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>Have contracted with Vigilanz</i>
	8. Other example(s):	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Accountability</b>	1. Does your facility have a leader or co-leaders responsible for program management and outcomes of stewardship activities?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	a. If a non-physician is the leader of the program, does the facility have a designated physician who can serve as a point of contact and support for the non-physician leader?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA	<i>Hospitalist on the team and another contributing hospitalist.</i>
	2. Other example(s):	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Pharmacy Expertise</b>	1. Does your facility have a pharmacist(s) responsible for leading implementation efforts to improve antibiotic use?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	2. Does your pharmacist(s) leading implementation efforts have specific training and/or experience in antibiotic stewardship?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>Board Certified Infectious Diseases Pharmacist SIDP Antimicrobial Stewardship Certificate</i>
	3. Other example(s):	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Action: Implement Interventions to Improve Antibiotic Use</b>	1. [Priority Example] Does your facility perform prospective audit and feedback for specific antibiotic agents?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>Daily antibiotic review for inpatients by clinical pharmacists</i>
	2. [Priority Example] Does your facility perform preauthorization for specific antibiotic agents?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	3. [Priority Example] Does your facility have facility-specific treatment recommendations, based on national guidelines and local pathogen susceptibilities, to assist with antibiotic selection for common clinical conditions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>• CAP • UTI</i>

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<b>Action: Implement Interventions to Improve Antibiotic Use</b>	4. Does your facility have specific interventions (e.g., ensuring correct discharge duration of therapy) to ensure optimal use of antibiotics for treating the most common infections in most hospitals?		
	a. Community-acquired pneumonia	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	b. Urinary tract infections	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	c. Skin and soft tissue infections	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<i>Often give recommendation by pharmacist.</i>
	5. Does your facility have <u>specific interventions in place</u> to ensure optimal use of antibiotics in the following situations?		
	a. Sepsis	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>Sepsis antibiotic guidelines</i>
	b. <i>Staphylococcus aureus</i> infection	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>Inpatient Clinical Pharmacist Reviews</i>
	c. Stopping unnecessary antibiotic(s) in new cases of <i>Clostridioides difficile</i> infection (CDI)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	d. Culture-proven invasive (e.g., blood stream) infections	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	e. Review of planned outpatient parenteral antibiotic therapy (OPAT)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<i>make recommendations</i>
	6. Does your facility have a policy that requires prescribers to document in the medical record or during order entry a dose, duration and indication for all antibiotic prescriptions?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<i>Indication</i>
	7. Does your facility have a formal procedure for all prescribers to conduct daily reviews of antibiotic selection until a definitive diagnosis and treatment duration are established (i.e. time out)?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>Pharmacist led</i>

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<b>Action: Implement Interventions to Improve Antibiotic Use</b>	8. Other example(s):	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	9. Other example(s):	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	10. Other example(s):	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	11. Other example(s):	<input type="checkbox"/> Yes <input type="checkbox"/> No	

<b>Tracking Antibiotic Use and Outcomes</b>	1. [Priority Example] Does your antibiotic stewardship program track antibiotic use by submitting to the National Healthcare Safety Network (NHSN) Antimicrobial Use (AU) Option?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>Vigilanz, currently doing data validation before submitting to NHSN</i>
	2. [Priority Example] Does your antibiotic stewardship program monitor prospective audit and feedback interventions by tracking the types of interventions and acceptance of recommendations?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	3. [Priority Example] Does your antibiotic stewardship program monitor preauthorization interventions by tracking which agents are being requested for which conditions?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	4. [Priority Example] Does your stewardship program monitor adherence to facility-specific treatment recommendations?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	5. Does your stewardship program monitor adherence to a documentation policy (dose, duration and indication)?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	6. Does your antibiotic stewardship program monitor the performance of antibiotic timeouts to see how often these are being done and if opportunities to improve use are being acted on during timeouts?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	7. Does your antibiotic stewardship program routinely perform medication use evaluations to assess courses of therapy for select antibiotics and/or infections to identify opportunities to improve use?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>Done daily IP by clinical pharmacist.</i>

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<b>Tracking Antibiotic Use and Outcomes</b>	8. Does your antibiotic stewardship program assess how often patients are discharged on the correct antibiotics for the recommended duration?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	9. Does your antibiotic stewardship program track antibiotic resistance by submitting to the NHSN Antimicrobial Resistance (AR) Option?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>Infection Prevention does this.</i>
	10. Does your antibiotic stewardship program track CDI in context of antibiotic use?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	11. Does your facility produce an antibiogram (cumulative antibiotic susceptibility report)?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>Done by lab</i>
	12. Other example(s):	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Reporting Antibiotic Use and Outcomes</b>	1. Does your antibiotic stewardship program share facility and/or individual prescriber-specific reports on antibiotic use with prescribers?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>Just started</i>
	2. Does your antibiotic stewardship program report adherence to treatment recommendations to prescribers (e.g., results from medication use evaluations, etc)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	3. Has your facility distributed a current antibiogram to prescribers?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	4. Other example(s):	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Education</b>	1. Does your stewardship program provide education to prescribers and other relevant staff on optimal prescribing, adverse reactions from antibiotics, and antibiotic resistance?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>Lots of one-on-one "Handshake" stewardship. Presented at ED committee</i>
	2. Does your stewardship program provide education to prescribers as part of the prospective audit and feedback process (sometimes called "handshake stewardship")?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>↳ Emails as well.</i>
	3. Other example(s):	<input type="checkbox"/> Yes <input type="checkbox"/> No	

# Notes

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